

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of

Kaneko, T.

Serial No.: Not Yet Assigned

Group Art Unit: Not Yet Assigned

Filing Date: Concurrently Herewith

Examiner: Unknown

For: **ARRAY WAVEGUIDE GRATING, OPTICAL TRANSMITTER AND OPTICAL COMMUNICATION SYSTEM WITH MONITORING FUNCTION**

Assistant Commissioner of Patents
Washington, D.C. 20231



INFORMATION DISCLOSURE STATEMENT

Sir:

Under the provisions of 37 CFR §1.97 through §1.99 and pursuant to applicant's duty of disclosure under 37 CFR §1.56, applicant respectfully brings the following document listed on the attached form PTO-1449, to the attention of the Examiner in charge of the above-identified application. A copy of the listed document is provided herewith for the convenience of the Examiner. In compliance with the concise explanation requirement under 37 CFR §1.98(a)(3), the relevance of this document is discussed on page 2 of the subject application. **Further, an English-language Abstract is attached to the reference.**

This citation does not constitute an admission that the reference is relevant or material to the claims. It is only cited as constituting related art of which the applicant is aware.

It is respectfully requested that the listed reference be considered by the Examiner and formally made of record in this application.

Please charge any deficiencies in fees and credit any overpayment of fees to Attorney's Deposit Account No. 50-0481.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "Sean McGinn".

Sean M. McGinn

Registration No. 34,386

Date: November 15, 2001
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(Use several sheets if necessary)

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Not Yet Assigned

Kaneko, T.

Concurrently herewith

Not Yet Assigned

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OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)		

DATE CONSIDERED

Form PTO-A820
(also form PTO-1449)

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